



15 February 2016

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK
ATTN: LEHMAN BROTHERS HOLDINGS INC
75 ONE BOWLING GREEN
NEW YORK, NY 10004-1408

7/F Lincoln House
Taikoo Place
979 King's Road
Hong Kong
Telephone: +852 3961 3018
Facsimile: +852 3988 7094
www.rbs.com

In Re:
Lehman Brothers Holdings Inc., et al.,
Debtors
Chapter 11
Case No. 08-13555 (JMP)
(Jointly Administered)



Dear Sir,

We are writing to request for the change of ownership in the Lehman Brother ELNs where RBS have made settlements with YEE SUK FUN HELENA under Claim No. 49580.

Attached please find the related documents Notice of Transfer of Claim and Evidence of Transfer of Claim for your reference.

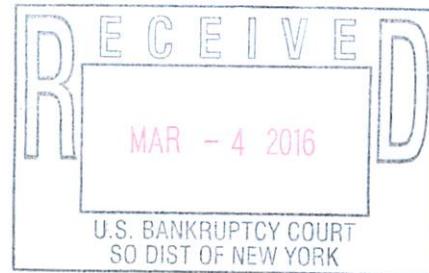
Should you have any queries regarding to the transfer of claim, please feel free to contact us at HK.CustomerResponse@rbs.com or contact our telephone hotline at (852) 3961-3018.

Thank you for your attention in this matter.

Yours faithfully,

A handwritten signature in blue ink, appearing to read "Client Engagement Team".

Client Engagement Team
Retail and Commercial Markets, Asia
The Royal Bank of Scotland N.V.



**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

Lehman Brothers Holdings Inc.

Debtors.

Chapter 11

Case No. 08-13555 (JMP)

(Jointly Administered)

**NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY
PURSUANT TO RULE 3001(e)(2)**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland
N.V.

Name of Transferor: YEE SUK FUN
HELENA

Name and Address where notices to transferee
should be sent: The Royal Bank of Scotland N.V.
7/F Lincoln House, Taikoo Place, 979 King's
Road, Quarry Bay, HONG KONG

Claim No.: 49580
Amount of Claim: USD 100,000.00
Date Claim Filed: 27 October 2009

Telephone: (852) 3961-3018
Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: *[Signature]*
On behalf of The Royal Bank of Scotland N.V.

Date: 15 Feb 2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both.
11 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTORS AND THE BANKRUPTCY COURT

YEE SUK FUN HELENA ("Transferor") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("Transferee") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("LBHI") and its affiliates (the "Debtors"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court"), as set forth in the proof of claim No. 49580 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 15 day of Feb 2016.

YEE SUK FUN HELENA

By: _____
Name:
Title:

THE ROYAL BANK OF SCOTLAND N.V.

By: 
Name: David Campbell
Title: Head of Tail Management, Asia
Retail and Commercial Markets, Asia